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### Research Article

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# Reciprocal regulation by TLR4 and TGF- $\beta$ in tumor-initiating stem-like cells

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**Tumor-initiating stem-like cells (TICs) are resistant to chemotherapy and associated with hepatocellular carcinoma (HCC) caused by HCV and/or alcohol-related chronic liver injury. Using HCV Tg mouse models and patients with HCC, we isolated CD133<sup>+</sup> TICs and identified the pluripotency marker NANOG as a direct target of TLR4, which drives the tumor-initiating activity of TICs. These TLR4/NANOG-dependent TICs were defective in the TGF- $\beta$  tumor suppressor pathway. Functional oncogene screening of a TIC cDNA library identified *Yap1* and *Igf2bp3* as NANOG-dependent genes that inactivate TGF- $\beta$  signaling. Mechanistically, we determined that YAP1 mediates cytoplasmic retention of phosphorylated SMAD3 and suppresses SMAD3 phosphorylation/activation by the IGF2BP3/AKT/mTOR pathway. Silencing of both *YAP1* and *IGF2BP3* restored TGF- $\beta$  signaling, inhibited pluripotency genes and tumorigenesis, and abrogated chemoresistance of TICs. Mice with defective TGF- $\beta$  signaling (*Spnb2*<sup>-/-</sup> mice) exhibited enhanced liver TLR4 expression and developed HCC in a TLR4-dependent manner. Taken together, these results suggest that the activated TLR4/NANOG oncogenic pathway is linked to suppression of cytostatic TGF- $\beta$  signaling and could potentially serve as a therapeutic target for HCV-related HCC.**

## Introduction

Tumor-initiating stem-like cells (TICs) represent a major factor in chemotherapy resistance (1) in the treatment of hepatocellular carcinoma (HCC), the fifth most common cancer in men and the seventh most common cancer in women in the world (2). In liver tissue, regeneration plays a vital role in a homeostatic response to injury. However, the occurrence of mutations during chronic liver injury is likely to prompt an expansion of altered stem cells, leading to the genesis of TICs for tumor development and progression. Because roughly 40% of HCC is considered clonal, early tumor initiation may be stem-like in origin. Therefore, it is important to understand the key functional pathways of these TICs for identification of new therapeutic targets for HCC.

Chronic inflammation is a major risk factor for cancer development (3), which may involve activation of NF- $\kappa$ B and STAT3 (4). Viral infection (HBV or HCV) and environmental factors (alcohol, obesity) give rise to chronic liver inflammation and increase the risk for HCC. The link between inflammation and cancer is supported by the fact that TLR4, the pathogen-associated molecular pattern, which mediates an inflammatory response to endotoxin and other ligands, is implicated in lung (5), colon (6), and skin carcinomas (7). Although we usually consider macrophages and lymphocytes to be the primary cell types that express TLR4 to mediate immune and inflammatory response, an increasing body of evidence points to the role of TLR4 ectopically expressed in epithelial parenchymal cells in oncogenesis (8, 9). To this end, we have recently identified the pluripotency marker *Nanog*, as a novel

gene downstream of TLR4 in CD133<sup>+</sup>/CD49f<sup>+</sup> TICs, which contributes to liver oncogenesis in HCV nonstructural 5A (*Ns5a*) Tg alcohol-fed mice (9). These Tg mice show upregulation of TLR4 expression in hepatocytes and develop liver tumors, when TLR4 signaling is chronically activated by endotoxemia associated with alcohol intake (9), serving as a model for synergistic HCC in alcoholic patients infected with HCV (10, 11).

The TGF- $\beta$  pathway is instrumental in mammalian development and tumor suppression through inhibition of proliferation and induction of apoptosis. A defective TGF- $\beta$  tumor suppressor pathway is implicated in a broad array of malignancies, including HCC (12). Paradoxically, TGF- $\beta$  may also promote tumorigenesis – promoting tumor cell invasiveness and metastasis through modulation of the immune system and enhancement of the epithelial-mesenchymal transition (13, 14) in more progressed disease stages. Nevertheless, the roles and clinical relevance of TGF- $\beta$  in its tumor suppressor signaling arm are becoming increasingly evident. This association is experimentally supported by development of spontaneous HCC in mice with impaired TGF- $\beta$  signaling due to  $\beta$ 2-spectrin deficiency (15). Loss or reduced expression of TGF- $\beta$  receptor (T $\beta$ RI/T $\beta$ RII) or signalling molecules (e.g., SMAD4) also enhances malignant progression in various human tumor types, cancer xenografts, and Tg mice (16–22). This is due at least in part to activation of mitogenic and oncogenic pathways involving CDK4, PRAJA,  $\beta$ -catenin, TERT, and c-MYC that occur when the TGF- $\beta$  pathway is inactivated. Suppressed TGF- $\beta$  pathway may also contribute to the resistance of HCC to chemotherapy through induction of IL-6 (23) and downstream activation of STAT3 (24), and this chemoresistance presents an important clinical challenge for HCC.

**Conflict of interest:** The authors have declared that no conflict of interest exists.

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In innate immunity, heightened TLR4 signaling is known to suppress the TGF- $\beta$  pathway (25). Conversely, lack of a functional TGF- $\beta$ R1 or TGF- $\beta$ R2 (T $\beta$ RI or T $\beta$ RII) (26, 27) or SMAD3 (28) results in extensive inflammation due to increased TLR4 expression and LPS hyperresponsiveness (26). This reciprocal regulation of TLR4 and TGF- $\beta$  pathways may also be important in liver oncogenesis and could present a new functional target for therapeutics of lethal cancers that evade cytostatic TGF- $\beta$  control (29–32). Here, we report that the newly identified TLR4/NANOG oncogenic signaling pathway impairs the TGF- $\beta$  pathway to confer TICs chemoresistance in HCV-associated HCC. Analysis of TICs isolated from HCC in alcohol-fed HCV *Ns5a* or *Core* mouse models and alcoholic HCV patients led to the identification of YAP1 and IGF2BP3 as novel TLR4/NANOG-dependent molecules responsible for suppression of the TGF- $\beta$  pathway and chemoresistance. Further, HCC that developed due to a defective TGF- $\beta$  pathway in  $\beta$ 2-spectrin-deficient mice was shown to be similarly caused by reciprocally upregulated TLR4 signaling.

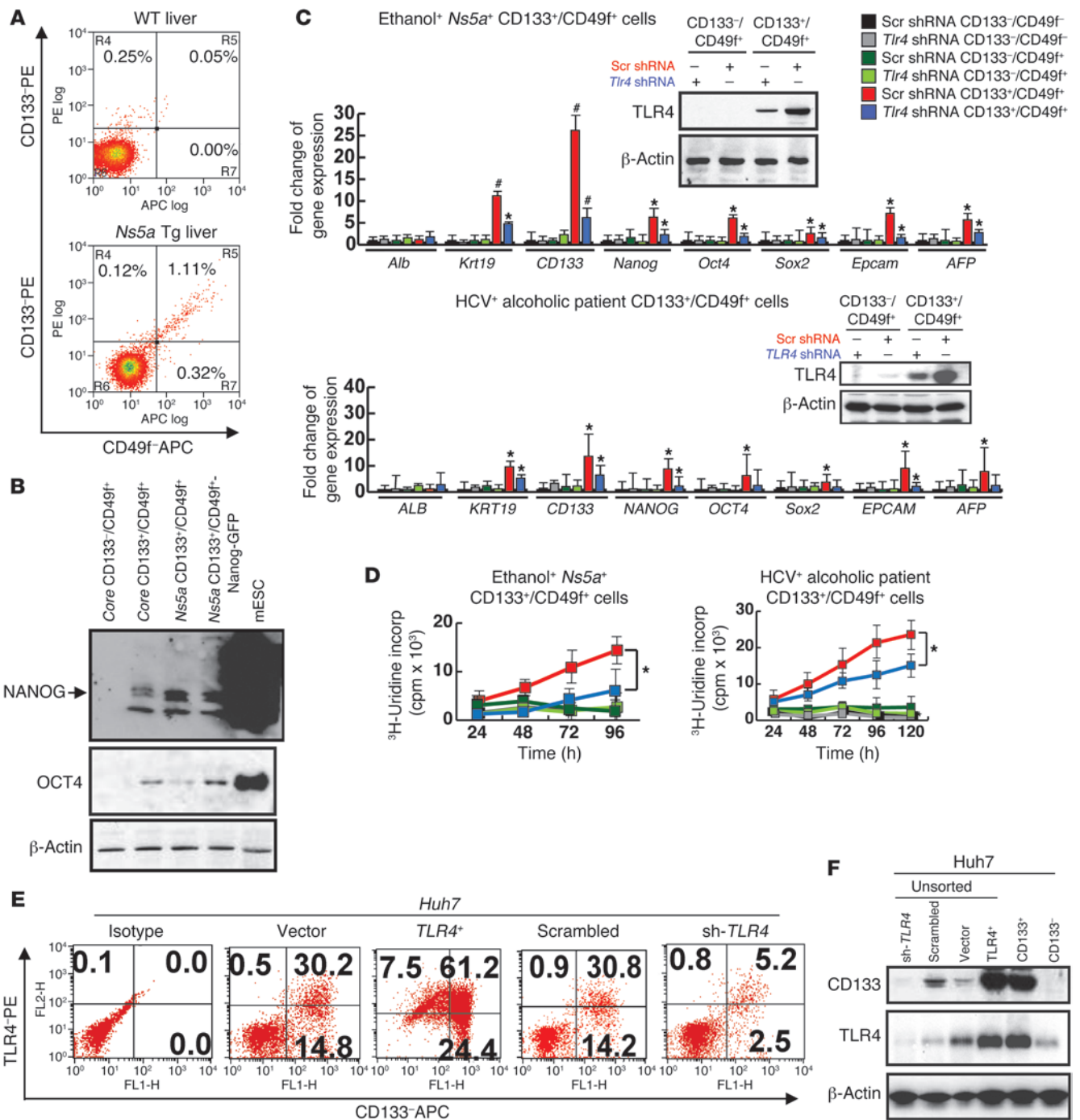
## Results

**Isolation of TLR4/NANOG-dependent CD133<sup>+</sup>/CD49f<sup>+</sup> TICs from HCC mouse models and patients with HCC.** We first isolated TICs by CD133 and CD49f FACS sorting from liver tumors of ethanol-fed *Ns5a* Tg mice (Figure 1A) in which we had previously shown colocalization of NANOG and CD133 or CD49f in cells with a high nucleus/cytoplasm ratio (9). The liver tumors from the model had substantially increased percentages of both CD133<sup>+</sup>/CD49f<sup>+</sup> and CD133<sup>+</sup>/CD49f<sup>-</sup> populations, as compared with the WT mice (0.00% vs. 0.32% and 0.05% vs. 1.11%, respectively, Figure 1A). Quantitative PCR (qPCR) analysis of these populations demonstrates consistent inductions in stem cell markers, such as *Nanog*, *Oct4*, *Sox2*, *Epcam*,  $\alpha$ -fetoprotein (*AFP*), and cytokeratin-19 (*Krt19*), in the CD133<sup>+</sup>/CD49f<sup>+</sup> population as compared with CD133<sup>-</sup>/CD49f<sup>-</sup> or CD133<sup>-</sup>/CD49f<sup>+</sup> population (Figure 1C). Based on this result, we designated and used CD133<sup>+</sup>/CD49f<sup>+</sup> cells as TICs and CD133<sup>-</sup>/CD49f<sup>+</sup> cells as control cells in subsequent studies. The *Nanog* induction in TICs was confirmed by immunoblot analysis (Figure 1B) and colocalization of NANOG immunofluorescence staining and GFP expressed under *Nanog* promoter of a transfected *Nanog*-GFP reporter plasmid (Supplemental Figure 1A; supplemental material available online with this article; doi:10.1172/JCI65859DS1). TLR4, which we proposed as the putative oncogenic factor (9), was conspicuously upregulated in TICs (inset of Figure 1C), and more importantly, knockdown of TLR4 with shRNA attenuated the induction of the stem cell genes (red vs. blue in Figure 1C). We performed microarray analysis on TICs vs. control cells and identified differentially regulated genes, including genes downstream of TLR4 (*RNase L*, *Ifi44*, *Il10*) and those implicated in invasion (*Tiam-1*) (33) and stemness (*Fzd3* and *Hhip3*) (Supplemental Table 1). We then sought to validate the occurrence of these TICs in a different animal model as well as human HCC. For this purpose, we isolated the double-positive cells from HCC developed in alcohol-fed HCV *Core* Tg mice and from human HCC tissues surgically resected from HCV-infected alcoholic patients (representative histology for both shown in Supplemental Figure 1B). TICs from both groups showed TLR4 protein upregulation and similar inductions of the stemness genes in a manner dependent on TLR4 (Supplemental Figure 1C and Figure 1C). Next, we tested anchorage-independent growth and spheroid formation of TICs. The TICs but not control cells from the mouse model and

from patients grew in soft agar (Supplemental Figure 1D) and formed spheroids after serial passages (Supplemental Figure 1E) in a manner dependent on *Tlr4* and *Nanog*. Similarly, enhanced DNA synthesis of TICs was significantly attenuated 50%–80% by *Tlr4* shRNA (Figure 1D and Supplemental Figure 1C, right panel). This inhibition was incomplete most likely because TLR4 was not silenced completely with shRNA, as shown by immunoblots in insets of Figure 1C and Supplemental Figure 1C. Invasiveness of the TICs was also 4-fold higher than that of the control cells, as shown for the cells from the *Ns5a* model in Supplemental Figure 1F. The human HCC cell line Huh7 expressed CD49f constitutively but CD133 in about 40%–50% of the cells. As CD133 positivity allowed isolation of self-renewing cells, we tested TLR4 expression in this cell line. Approximately two-thirds of CD133<sup>+</sup> Huh7 cells were positive for TLR4 (Figure 1E). CD133 positivity increased to 85% when TLR4 was overexpressed (*Tlr4*<sup>+</sup> vs. vector) and was abrogated when TLR4 was silenced with shRNA (shRNA for TLR4 vs. scrambled) (Figure 1E), suggesting a link between TLR4 and the stemness marker CD133. These results were confirmed by immunoblot analysis (Figure 1F). Furthermore, *TLR4*-transduced Huh7 cells showed increased proliferation as compared with vector-transduced cells, whereas *TLR4* shRNA reduced proliferation of CD133<sup>+</sup> cells but not CD133<sup>-</sup> Huh7 cells (Supplemental Figure 1G). Collectively, these results demonstrate TLR4-mediated proliferation of CD133<sup>+</sup> TICs and Huh7 cells.

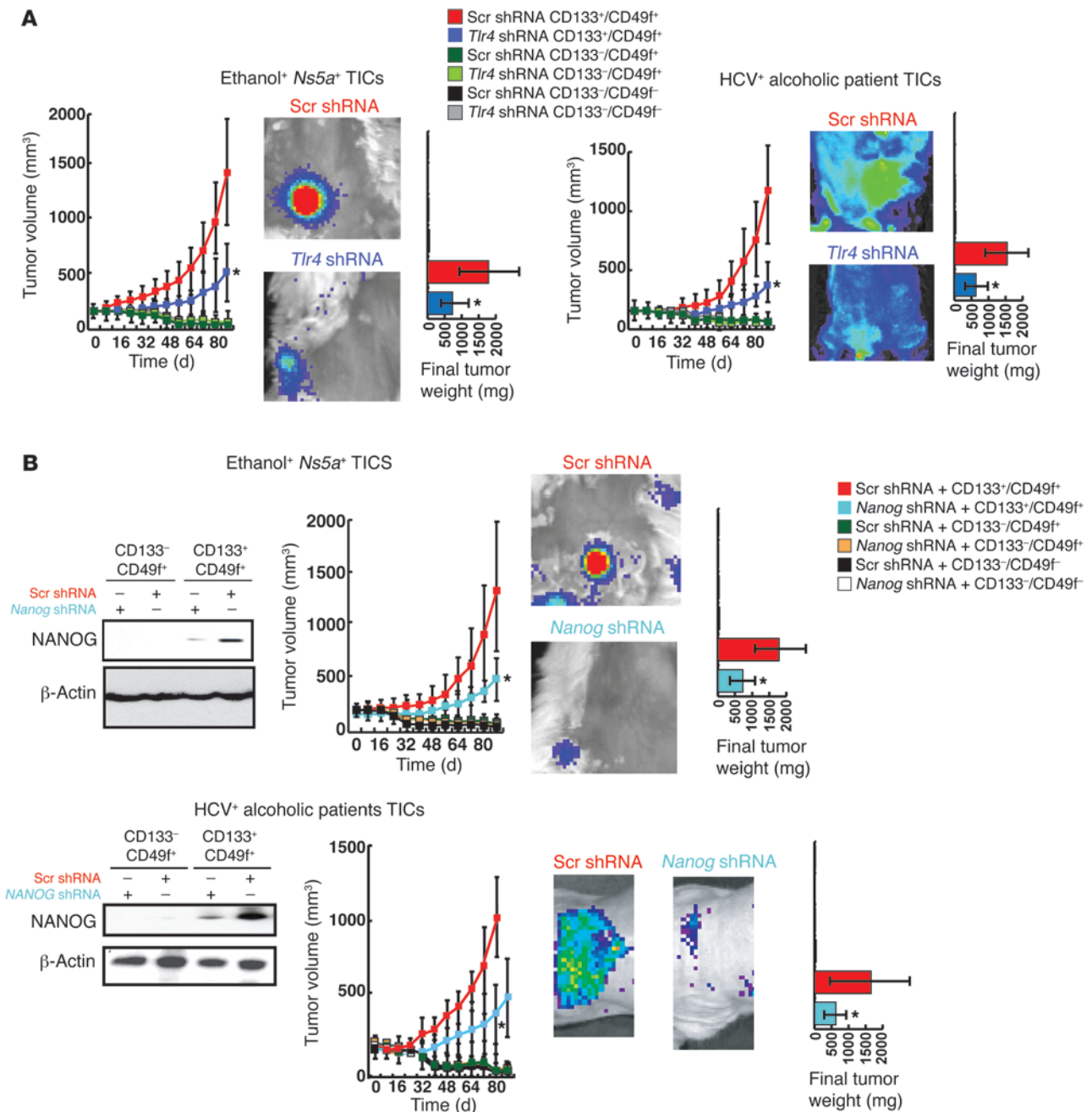
**TICs give rise to tumor in vivo.** Next, we tested the tumor-initiating property of TICs from the Tg models and patients by infecting the TICs and control cells with a lentiviral GFP expression vector and transplanting them subcutaneously in NOD/Shi-*scid*/*Il2r*<sup>-/-</sup> (NOG) mice. Genesis and growth of tumors derived from transplanted cells were monitored by GFP imaging for 80 days. Growing tumors developed from TICs from all 3 groups, while corresponding control or CD133<sup>-</sup>/CD49f<sup>-</sup> cells failed to form tumors (Figure 2A and Supplemental Figure 2A). For mice bearing the control cells, we extended the observation up to 6 months but did not observe any tumor formation (data not shown). Both growth and weight of tumors generated by TICs from all 3 groups were significantly attenuated by infection of the cells with a lentivirus expressing *Tlr4* shRNA prior to transplantation (Figure 2A and Supplemental Figure 2A). Histological analysis of tumors derived from *Ns5a* or *Core* Tg mouse and human patient TICs largely revealed dysplastic nodules or HCC (Supplemental Figure 2C), with occasional coexistence of cholangioma and fibrosarcoma. To test NANOG dependence of tumorigenesis from TICs, the cells were transduced with a *Nanog* shRNA lentiviral vector prior to transplantation. This manipulation reduced tumor growth by 60% to 75% (Figure 2B and Supplemental Figure 2B). As seen with *Tlr4* shRNA, *Nanog* shRNA did not completely prevent the tumor growth, most likely due to incomplete silencing of NANOG expression with shRNA, as shown in accompanying immunoblots. One of the *NANOG* pseudogenes, *NANOGP8*, contributes to oncogenesis (34). To test the role of *NANOGP8* vs. *NANOG* in tumorigenesis initiated by TICs, cells from human HCC were transduced with shRNA against each and used for a xenograft growth competition assay. Our results demonstrate suppressed tumorigenic activity by silencing of *NANOG* but not *NANOGP8* (Supplemental Figure 3, A–D), suggesting a limited role of the latter in tumorigenesis caused by TICs.

To further validate the tumor-initiating property, the TICs and control cells from *Ns5a* mice were serially transplanted into NOG



**Figure 1**

TLR4/NANOG-dependent TICs from liver tumors in alcohol-fed *Ns5a* Tg mice and patients. (A) FACS separation of CD133+/CD49f+ cells from liver tumors of a HCV *Ns5a* Tg mouse. (B) Immunoblot analysis confirms induction of NANOG and OCT4 in TICs from both *Core* and *Ns5a* mice. Mouse embryonic stem cells (mESC) serve as a positive control. (C) TICs isolated from liver tumors of *Ns5a* Tg mice or alcoholic HCV patients express *Nanog*, *Oct4*, *Sox2*, and *Krt19* at higher levels than CD133-/CD49f+ or CD133-/CD49f- cells, as determined by qPCR. Immunoblots reveal increased TLR4 protein levels in TICs, which are effectively silenced by transduction of lentiviral shRNA (insets). This silencing abrogates stemness gene upregulation. \**P* < 0.05, #*P* < 0.01, compared with scrambled shRNA. (D) <sup>3</sup>H-Uridine incorporation assay in culture demonstrates enhanced, TLR4-dependent cell proliferation of TICs from *Ns5a* Tg model (left) and patients (right). \**P* < 0.05, compared with scrambled shRNA. (E) TLR4 expression correlates with the stemness marker CD133. Human HCC cell line Huh7 cells were transduced by a retroviral vector expressing TLR4 (*TLR4*<sup>+</sup>) or shRNA for TLR4 (sh-*TLR4*) and examined for surface expression of CD133 by FACS, as compared with those transduced with the control vector (Vector) or scrambled shRNA (Scrambled). (F) An immunoblot confirms induced CD133 expression in Huh7 cells transduced with *Tlr4* (TLR4<sup>+</sup>) and a loss of this expression by transduction of shRNA against *Tlr4* (sh-*TLR4*). A CD133<sup>+</sup> population of Huh7 cells (CD133<sup>+</sup>) express abundant TLR4, and CD133<sup>-</sup> Huh7 cells (CD133<sup>-</sup>) lack this expression.



**Figure 2**

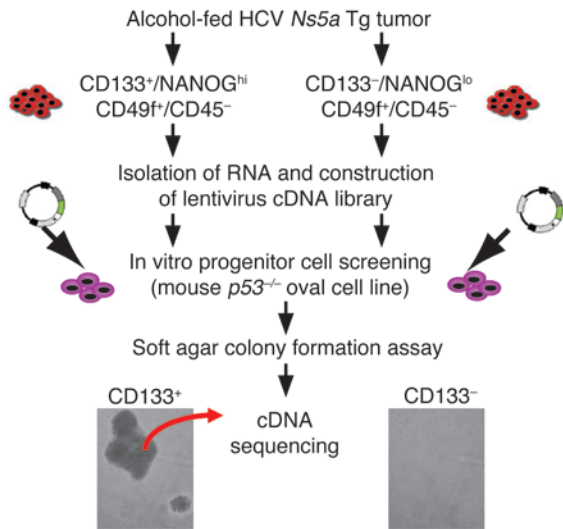
TLR4/NANOG-dependent tumor-initiation property of TICs. (A) GFP-transduced TICs, but not other populations from the *Ns5a* Tg mouse and patient HCC, give rise to growing tumors, as determined by whole-body imaging following subcutaneous transplantation in NOG mice. This growth is attenuated by *Tlr4* shRNA transduction prior to transplantation (\**P* < 0.05). Final tumor weight is also reduced with *Tlr4* shRNA. (B) Tumor growth by TICs from *Ns5a* Tg mouse or patient HCC is attenuated by transduction of *Nanog* shRNA. Final tumor weight is also reduced. Immunoblotting of cell lysates collected 10 days after the transplantation confirms expression of NANOG in the TICs and knockdown of this protein with specific shRNA. \**P* < 0.05.

mice. The cells were derived from 3 different liver samples and subcutaneously injected with 3 different cell numbers (500; 2,500; and 10,000) into 6 NOG mice for each group (*n* = 54). In these mice, tumors arose exclusively from the TICs, and they were subsequently used for serial transplantation. Indeed, TICs repetitively formed tumors in the serial transplantation experiment (Supple-

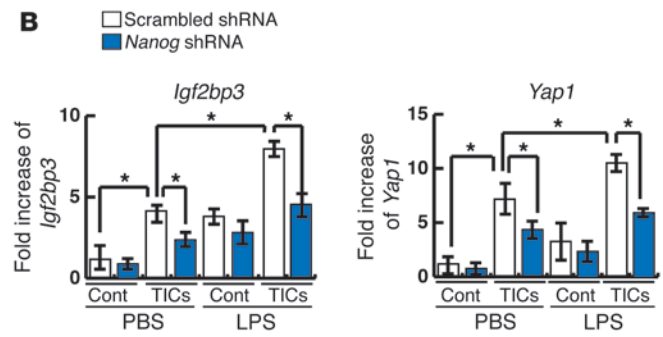
mental Table 2). These results support the hypothesis that TICs have tumor-initiating and self-renewal properties. To address how TICs are maintained in tumors established after transplantation, the cells were isolated from the tumors generated by TICs from *Ns5a* and *Core* Tg mice and sorted to assess the CD133<sup>+</sup>/CD49f<sup>+</sup> population. Interestingly, the double-positive TICs account for



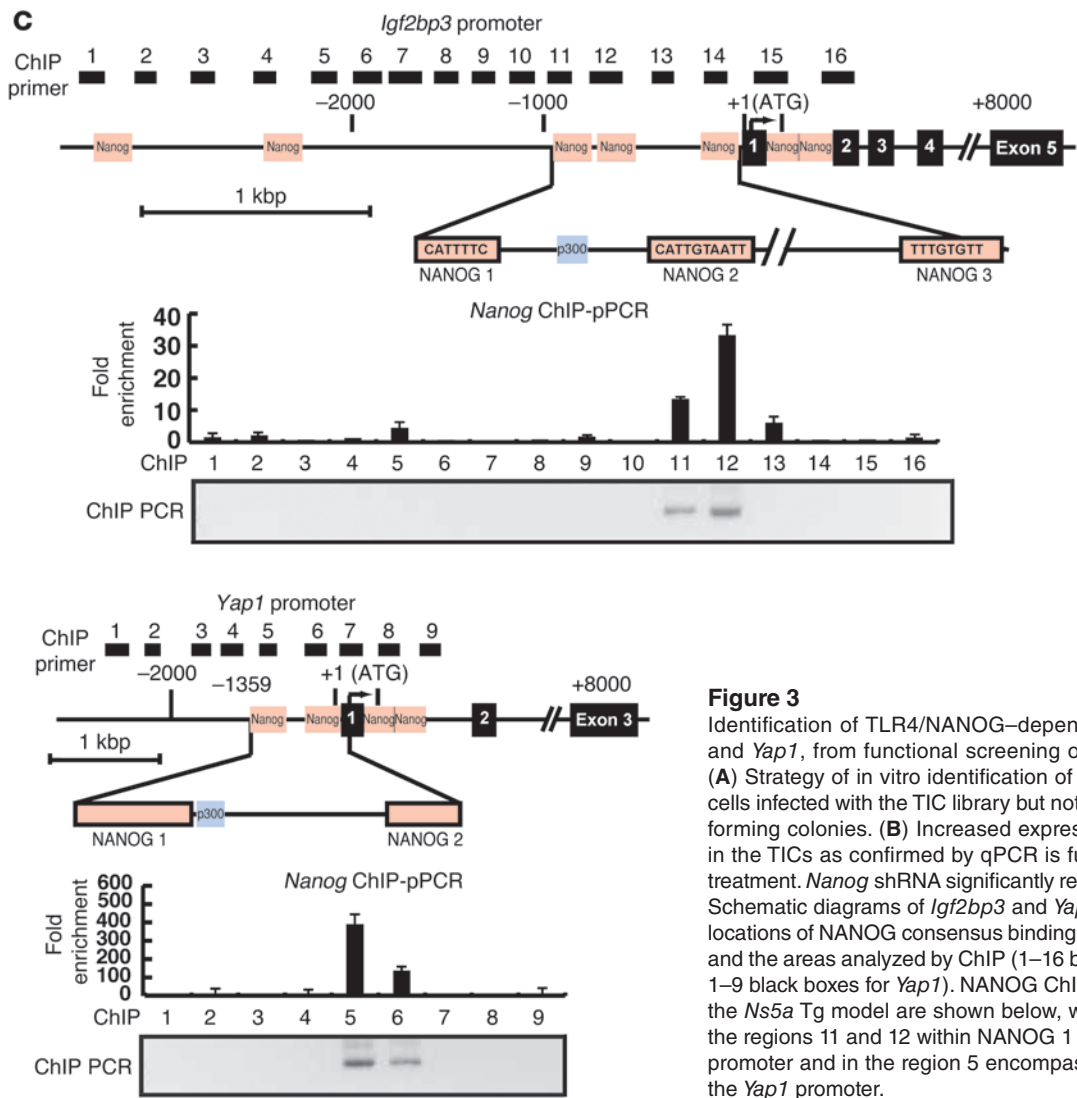
**A** Functional screening of liver tumor driver genes



**B**



**C**



**Figure 3**

Identification of TLR4/NANOG–dependent oncogenes, *Igf2bp3* and *Yap1*, from functional screening of a lentiviral cDNA library. (A) Strategy of in vitro identification of liver oncogenes, with oval cells infected with the TIC library but not with the control cell library forming colonies. (B) Increased expression of *Igf2bp3* and *Yap1* in the TICs as confirmed by qPCR is further upregulated by LPS treatment. *Nanog* shRNA significantly reduces these inductions. (C) Schematic diagrams of *Igf2bp3* and *Yap1* promoters depicting the locations of NANOG consensus binding sequences (orange boxes) and the areas analyzed by ChIP (1–16 black boxes for *Igf2bp3* and 1–9 black boxes for *Yap1*). NANOG ChIP-qPCR data for TICs from the *Ns5a* Tg model are shown below, with NANOG enrichment in the regions 11 and 12 within NANOG 1 and 2 sites for the *Igf2bp3* promoter and in the region 5 encompassing the NANOG 1 site of the *Yap1* promoter.

**Table 1**Tumor driver gene candidates in NANOG-positive TICs from HCV *Ns5a* Tg mice fed alcohol for 12 months

Gene name	Full name	Function	Gene accession no.
<i>Igf2bp3</i>	IGF2 mRNA binding protein 3	Fetal oncoprotein, stabilization of <i>IGF2</i> and <i>CD44</i> mRNA	NM_133976
<i>Yap1</i>	Yes-associated protein 1	Proto-oncogene, liver oncogenesis, organ-size control	NM_009534
<i>E2f3</i>	E2F transcription factor 3	Transcription activator, binding to Rb	NM_010093
<i>Trim16</i>	Tripartite motif protein 16	Stabilization of estrogen receptor, zinc finger protein	NM_053169
<i>Stat3</i>	Signal transducer and activator of transcription 3	Transcription activators	XM_001005155
<i>Tnfrsf12a</i>	TNFR superfamily, member 12A	Adhesion and migration, liver regeneration, high in HCC	NM_013749
<i>Tnfaip6</i>	TNF- $\alpha$ -induced protein 6	Extracellular matrix stability and cell migration	NM_009398
<i>Mmp2</i>	Matrix metalloproteinase 2	Breakdown of extracellular matrix	NM_008610
<i>Mmp20</i>	Matrix metalloproteinase 20	Breakdown of extracellular matrix	NM_013903

Candidate genes were identified by lentivirus cDNA screening of mouse oval cell lines. *Tnfaip6* is also known as *Tsg6*.

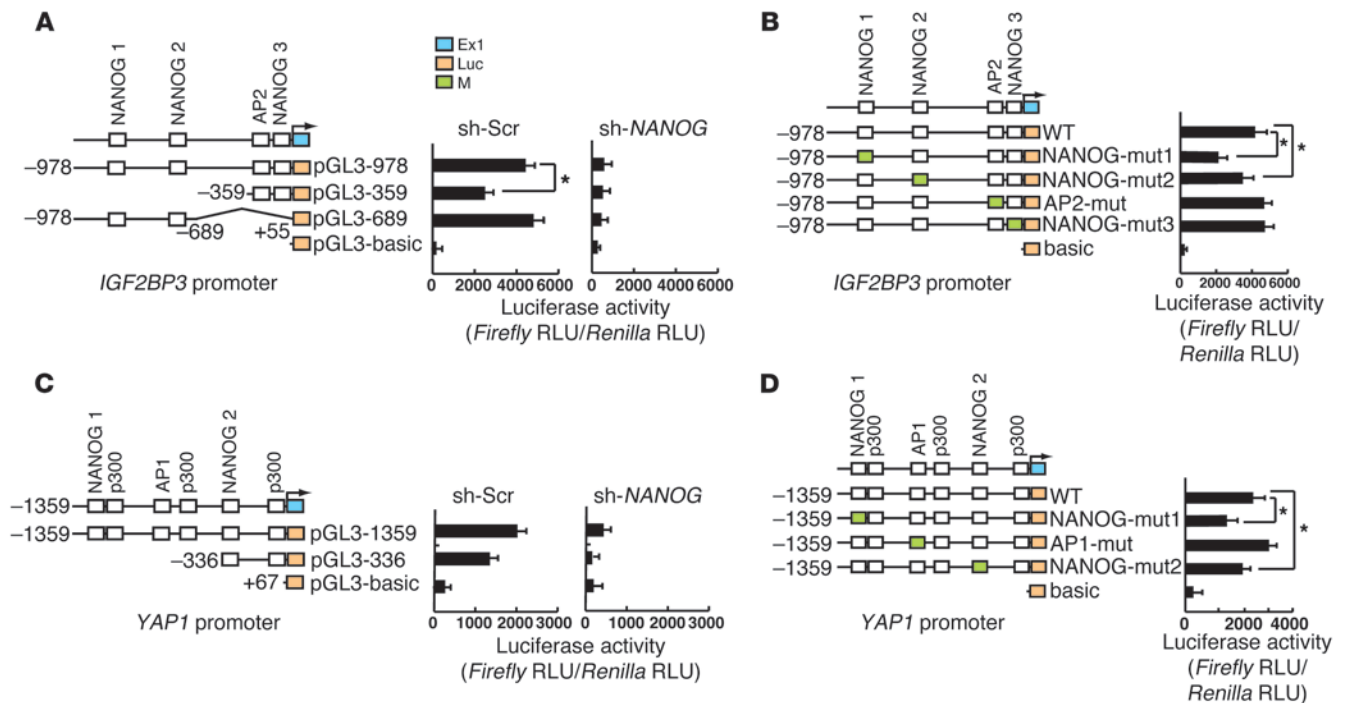
1.1% to 1.4% of total cells (Supplemental Figure 4A), which is similar to the percentage observed for the double-positive cells from the original tumors, suggesting that TICs reside in a small population once a solid tumor is formed.

A recent study shows that CD24<sup>+</sup> cells from patient HCC possess a tumor-initiating property in a manner dependent on STAT3 and NANOG (35). To address this possibility, we tested anchorage-independent growth and tumor formation by CD133<sup>+</sup>/CD24<sup>+</sup> vs. CD133<sup>+</sup>/CD24<sup>-</sup> cells, and we found no difference in colony formation and tumor-initiation property in NOG mice (Supplemental Figure 4, B and C). These results suggest that CD24 positivity does not reflect tumor-initiating property in our TICs.

*TLR4 induces Igf2bp3 and Yap1 through Nanog.* Having established tumorigenic activity of TICs, we next sought to identify oncogenes expressed by the putative TICs. This was achieved by generating a lentiviral cDNA library via reverse transcribing mRNA from the cells isolated from liver tumors of 5 independent *Ns5a* Tg mice, creating targeting vectors harboring cDNAs, and cloning them into lentivirus by the recombination method. Cells from an immortalized mouse liver progenitor *p53*-deficient cell line (PIL-4) were infected with the lentiviral library derived from either TICs or control cells and tested for colony formation in soft agar (Figure 3A). Following 2 to 3 weeks after infection with the lentiviral library from TICs, PIL-4 cells transformed and generated numerous colonies, but very few colonies were formed by the library from the control cells (Figure 3A). Colonies formed by infection with the TIC-derived library were individually isolated to screen for potential oncogenes. Isolation and sequencing of 45 cDNAs from 138 colonies have led to identification of 9 candidate genes, including *Igf2bp3* (also known as *IMP-3*), *Yap1*, *Tnfrsf12a*, *Trim16*, *Tnfaip6*, *E2f3*, *Stat3*, *Mmp2*, and *Mmp20* (Table 1). Most of these genes are known to have enriched NANOG binding motifs within 8 kb upstream of their transcription start site in embryonic stem cells (36). Among these genes, *Yap1*, *E2f3*, and *Stat3* are reported to be oncogenic when overexpressed (37–39). YAP1 is a target of the organ-size control Hippo pathway (40). IGF2BP3 expression enhances tumor growth and invasion at least in part by promoting translation of IGF-II (41) and stabilization of *CD44* mRNA (42), and its expression predicts early recurrence and poor prognosis in HCC (43, 44). We confirmed by qRT-PCR that *Yap1* and *Igf2bp3* were induced in TICs and were further upregulated by LPS in an at least partially NANOG-dependent manner (Figure 3B). Only 2 genes were isolated from a few colonies generated by the cDNA library from the control cells (Supplemental Figure 4D).

To determine how *Igf2bp3* and *Yap1* inductions are mediated by NANOG, the NANOG binding consensus sequences within their promoters were examined for recruitment of NANOG in LPS-stimulated TICs by ChIP-qPCR assays. NANOG recruitment is enriched in a region encompassing 3 NANOG binding sites in the *IGF2BP3* promoter (–869, –746, and –147 nt) (Figure 3C) and 2 NANOG binding sites in the *Yap1* promoter (–1294 and –323 nt) (Figure 3C). *IGF2BP3* promoter analysis with deletion constructs in Huh7 cells suggests the importance of the segment (–978/–359 nt) containing 2 distal NANOG sites (NANOG 1 and 2, Figure 4A). NANOG dependence of this promoter activity was demonstrated by abrogating effects of NANOG shRNA (Figure 4A). To further confirm the functional importance of the NANOG sites within –978/+55 nt, we mutated each of the 3 sites (NANOG 1–NANOG 3). Mutations of NANOG 1 and 2 sites reduced LPS-induced *IGF2BP3* promoter activity, while mutation of NANOG 3 site had no effect (Figure 4B), indicating that *IGF2BP3* is a target of TLR4 signaling primarily via NANOG binding to the distal NANOG 1 and 2 sites. Similar results were obtained for a *YAP1* proximal promoter region, with 2 NANOG sites (NANOG 1 and 2) being functional (Figure 4, C and D). These results demonstrate that TLR4 induces *Igf2bp3* and *Yap1* through NANOG.

*Yap1 and Igf2bp3 are TIC oncogenes.* To validate the oncogenic activity of *Yap1* and *Igf2bp3* in vitro, TICs from *Ns5a* mice were infected with lentivirus expressing shRNA for each of the 2 genes prior to performing a colony formation assay. Colony formation was moderately inhibited by either *Yap1* shRNA or *Igf2bp3* shRNA alone. However, dual knockdown of *Yap1* and *Igf2bp3* almost completely abrogated colony formation (Figure 5A). Furthermore, transduction of shRNA against both *Yap1* and *Igf2bp3* significantly reduced the number of spheroids formed with TICs during the first, second, and third passages (Figure 5B). Immunoblot of lysates from the cells confirmed enhanced expression of YAP1 or IGF2BP3 in the TICs and effective knockdown of these proteins with the specific shRNA (Figure 5C). We then tested the oncogenic activities of these 2 genes in vivo by transplanting the TICs with or without silencing of *Yap1* and/or *Igf2bp3* in NOG mice. Growing tumors arose in the mice grafted with TICs transduced with an empty vector or scrambled shRNA over a period of 14 to 56 days (Figure 5D). Transduction of *Igf2bp3* shRNA, but not that of *Yap1* shRNA, moderately decreased the tumor size at day 56 only. However, silencing of both genes reduced tumor growth conspicuously at all 3 later time points (i.e., day 28, 42, and 56), confirming the cooperative effects of the 2 genes in oncogenesis. These results



**Figure 4** Identification of TLR4/NANOG-dependent oncogenes, *Igf2bp3* and *Yap1*, from functional screening of a lentiviral cDNA library. **(A)** *Igf2bp3* promoter analysis with deletion constructs demonstrates the importance of the proximal segment (–978/–359 nt) in LPS-induced promoter activity in TLR4-transduced Huh7 cells. NANOG dependence of this promoter activity is also demonstrated by the abrogating effects of NANOG shRNA. **(B)** Mutations of the NANOG consensus sites within –978/+55 nt (NANOG binding sites 1 and 2 but not 3) reduce LPS/TLR4-induced *IGF2BP3* promoter activity. **(C)** *YAP1* promoter analysis with deletion constructs demonstrates the importance of the proximal segment (–1359/–336 nt) in LPS-induced promoter activity in TLR4-transduced Huh7 cells. NANOG shRNA similarly abrogates the promoter activity. **(D)** Mutation of one of the NANOG consensus sites (NANOG binding site 2) reduces LPS/TLR4-induced *YAP1* promoter activity. \**P* < 0.05.

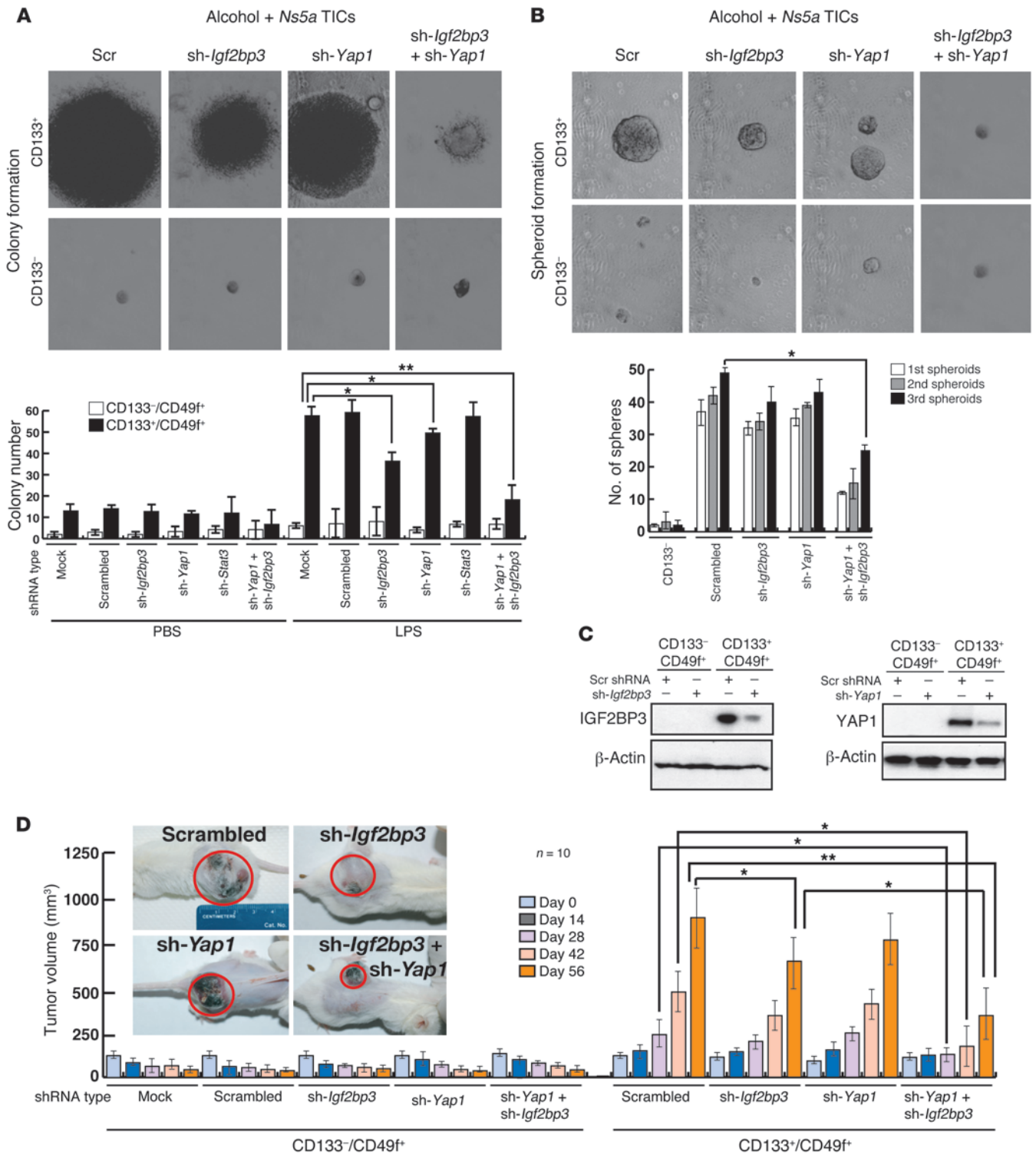
suggest that *Yap1* and *Igf2bp3* function via different mechanisms and work cooperatively for the oncogenic effect.

*YAP1* and *IGF2BP3* suppress the TGF-β pathway. While TLR4/NANOG is identified as a novel oncogenic pathway in our TICs, a deficient TGF-β pathway caused by inactivation of at least one of the TGF-β signaling components is also a well-known risk factor for HCC in humans (45, 46) and a causal oncogenic mechanism in animal models (23, 47). Thus, we hypothesized that these 2 pathways may be reciprocally regulated and linked to the tumorigenic activity of TICs. Indeed, PAI-1 promoter activity, a parameter for TGF-β-mediated SMAD3/4 activity, was reduced in TICs under either LPS or TGF-β stimulation, as compared with that in the control cells (Supplemental Figure 5A), despite comparable expression of *Tgfb2* or *Smad3* in the 2 populations (Supplemental Figure 5B). YAP1 may interact with SMAD7 and potentiates SMAD7’s inhibitory activity against TGF-β/SMAD3 signaling (48). *Igf2bp3* encodes an mRNA binding protein, which promotes IGF-II translation by binding to the 5’UTR of *Igf-II* mRNA (41). IGF-II activates AKT and subsequently mTOR, which may suppress SMAD3 activation (49). Thus, we hypothesized that both YAP1 and IGF2BP3, induced in TICs via TLR4/NANOG, suppress TGF-β signaling and promote oncogenesis. To test this notion, we silenced *Yap1* and *Igf2bp3* individually or simultaneously with shRNA in TICs to determine their effects on TGF-β-induced PAI-1 promoter activity. The TICs showed again a lower TGF-β-induced promoter activity as compared with that of the control cells. *Yap1*

or *Igf2bp3* silencing modestly increased the activity, but silencing both genes clearly upregulated the activity in the TICs but not in the control cells (Figure 6A). To specifically examine the canonical TGF-β pathway, we examined p-SMAD3 levels in the whole-cell and nuclear extracts from TGF-β-treated TICs with or without *Yap1* and/or *Igf2bp3* silencing. This analysis demonstrates that TGF-β-induced p-SMAD3 levels were increased by silencing, and more conspicuously by silencing both genes (Figure 6B), corroborating the PAI-1 promoter results.

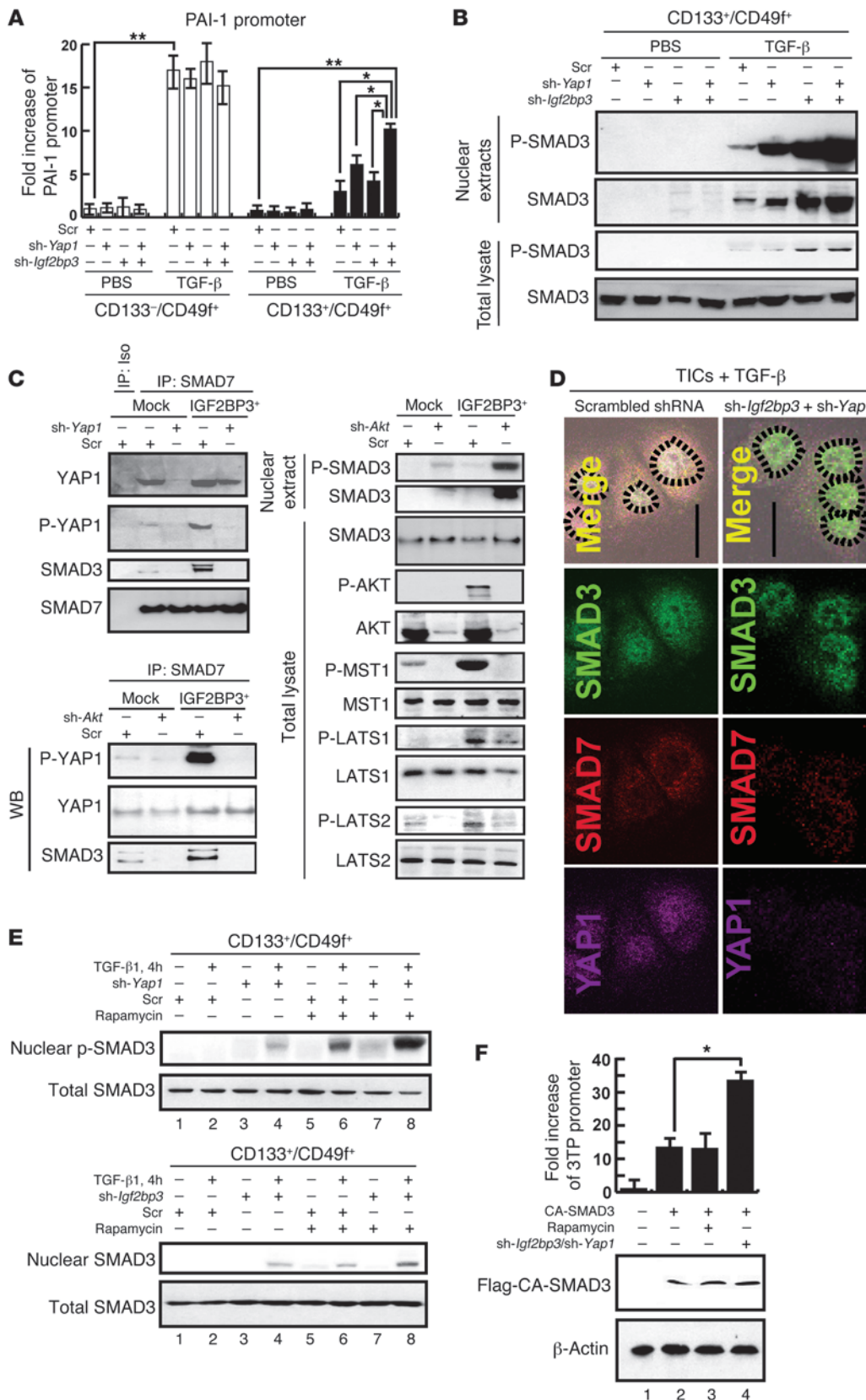
Next, we examined whether YAP1 interacts with SMAD7/SMAD3 to suppress TGF-β signaling and *Igf2bp3* affects this interaction. For this analysis, we used the PIL-4 cells transduced with *Yap1* cDNA (PIL-4-YAP1). Immunoprecipitation with SMAD7 antibody and subsequent immunoblotting with anti-YAP1, anti-p-YAP1 (p-Ser127), or anti-SMAD3 revealed the interactions of SMAD7 with YAP1, p-YAP1, and SMAD3, all of which were abrogated by *Yap1* silencing but increased by IGF2BP3 expression (Figure 6C, top left panel). The YAP1/SMAD7/SMAD3 interaction stimulated with IGF2BP3 was also reduced with *Yap1* shRNA, suggesting that YAP1 and IGF2BP3 suppress the TGF-β signal via increased YAP1/SMAD7/SMAD3 interaction. We further examined the role of AKT in regulating these interactions, since AKT is known to suppress TGF-β signaling (50). *Akt* silencing with specific shRNA reduced the SMAD7 interaction with YAP1, p-YAP1, and SMAD3 while increasing nuclear p-SMAD3 levels in PIL4-YAP1 cells, and these effects are more conspicuous in





**Figure 5**

Validation of *Yap1* and *Igf2bp3* as TIC oncogenes. (A) The number of colonies formed by TICs in soft agar is moderately reduced by lentiviral transduction of shRNA against *Yap1* or *Igf2bp3* but largely abrogated when knocking down both. *Stat3* silencing has no effects. \**P* < 0.05, \*\**P* < 0.01. (B) Self-renewal ability, as assessed by spheroid formation assay, is reduced in *sh-Yap1/sh-Igf2bp3*-transduced TICs. Serial spheroid-forming capacity is also reduced by dual transduction of *sh-Yap1* and *sh-Igf2bp3*. \**P* < 0.05. (C) Immunoblot analysis demonstrates induced expression of IGF2BP3 and YAP1 in TICs and effective knockdown by respective shRNA. (D) Transduction of *Igf2bp3* shRNA, but not that of *Yap1* shRNA, modestly reduces subcutaneous tumor growth by the TICs from the *Ns5a* Tg mice in NOG mice, while transduction of both shRNA clearly abrogates the growth at the later 3 time points. Representative photos of NOG mice carrying TIC-derived tumors are shown to depict an appreciable reduction of tumor size by *Igf2bp3* and *Yap1* silencing. \**P* < 0.05, \*\**P* < 0.01 versus scrambled shRNA group.



**Figure 6**

TICs are defective in TGF-β signaling due to SMAD3 pathway interference by YAP1 and IGF2BP3. (A) TGF-β-stimulated PAI-1 promoter activity is lower in TICs than in control cells. This defect is modestly improved with *Yap1* or *Igf2bp3* shRNA alone and synergistically corrected by both. \**P* < 0.05, \*\**P* < 0.01. (B) Nuclear levels of p-SMAD3 or SMAD3 in TGF-β-treated TICs are increased by *Yap1* or *Igf2bp3* silencing with respective shRNA and conspicuously by silencing of both genes. (C) Interactions of SMAD7 with YAP1, p-YAP1, and SMAD3 are increased by *Igf2bp3* transduction in PIL4 cells expressing YAP1 (PIL4-YAP1 cells), and these interactions are attenuated with *Yap1* shRNA (top left). In the same PIL4-YAP1 cells with *Igf2bp3* transduction, increased SMAD7 interactions with p-YAP1 and SMAD3 are abrogated by *Akt* silencing. Conversely, reduced nuclear p-SMAD3 level is increased. Phosphorylation of MST1 and LATS1/2 is increased by overexpression of IGF2BP3 but reduced by *Akt* silencing. (D) Fluorescent microscopy depicting increased nuclear staining of SMAD3 in TGF-β-treated TICs by silencing *Igf2bp3* and *Yap1*. Dotted circles indicate the outline of nuclei. Original magnification, ×200. (E) Rapa (200 nM) further increases TGF-β-induced nuclear p-SMAD3 level, which is already augmented by silencing *Yap1* or *Igf2bp3*. (F) *Igf2bp3*/*Yap1* silencing but not rapa augments 3TP-Luc promoter activity induced by CA-SMAD3. \**P* < 0.05.



*Igf2bp3*-transduced cells (Figure 6C, bottom left). As AKT phosphorylates MST1, which in turn phosphorylates Ser127 of YAP1 to promote cell survival (51), p-MST1 levels were also examined by immunoblot analysis. IGF2BP3 expression increased both p-AKT and p-MST1 in a manner dependent on AKT (Figure 6C, right), concomitant with increased interaction of p-YAP1 with SMAD7 (Figure 6C, bottom left), supporting potential involvement of the AKT/MST1/YAP1 pathway. LATS1 and LATS2 are other kinases also shown to phosphorylate YAP1 either directly or downstream of MST1 (52, 53). Indeed, both p-LATS1 and p-LATS2 levels were increased by IGF2BP3 expression and reduced by *Akt* silencing (Figure 6C, right). To attain direct evidence for association of the YAP1/SMAD7/SMAD3 interaction in the cytosol with reduced nuclear p-SMAD3, immunofluorescence microscopy of TGF- $\beta$ -treated TICs was performed, demonstrating colocalization of SMAD7, YAP1, and SMAD3 mainly in the cytosol of TICs transduced with scrambled shRNA. However, in TICs with *Yap1* and *Igf2bp3* silencing, SMAD3 nuclear localization became more evident (Figure 6D), supporting the notion that YAP1/SMAD7/SMAD3 interaction prevents SMAD3 activation and nuclear translocation in our TICs. Conversely, overexpression of both YAP1 and IGF2BP3 inhibited nuclear translocation of SMAD3 in PIL-4 cells (Supplemental Figure 5C).

AKT-activated mTOR inhibits SMAD3 phosphorylation (54, 55), and YAP1 phosphorylation at Ser127 prevents nuclear translocation and mitogenic activity of YAP1 (56). Thus, we further tested whether rapamycin (Rapa), the mTOR inhibitor, or the YAP1 S127A mutant reduces the YAP1/SMAD7 interaction stimulated by the constitutively active myristoylated AKT (AKT<sub>Myr</sub>). PIL-4 cells transduced with *Yap1* and *Akt<sub>Myr</sub>* show a strong interaction between YAP1 and SMAD7, which is not affected by Rapa, suggesting that mTOR plays no role in the YAP1/SMAD7 interaction (Supplemental Figure 5D). In contrast, when the YAP1-S127A mutant was expressed, it reduced the interaction of YAP1 and SMAD7, even in the presence of AKT<sub>Myr</sub>, suggesting the importance of Ser127 phosphorylation by AKT in the YAP1/SMAD7 interaction (Supplemental Figure 5D).

We next examined whether mTOR regulates TGF- $\beta$ -induced SMAD3 phosphoactivation in PIL-4 cells. TGF- $\beta$ -induced p-SMAD3 levels were reduced by IGF2BP3 overexpression but augmented by Rapa (Supplemental Figure 5E, lane 2 vs. lane 4 or lane 6), and this Rapa-augmented SMAD3 activation was not inhibited by IGF2BP3 expression (Supplemental Figure 5E, last lane), suggesting that mTOR is downstream of IGF2BP3 in suppressing SMAD3 activation. In our TICs, Rapa or *Yap1* shRNA augmented TGF- $\beta$ -induced p-SMAD3 levels (Figure 6E, top, lane 2 vs. lane 4 or lane 6), and both treatments result in a synergistic effect (Figure 6E, last lane), suggesting that YAP1 and mTOR inhibit activation of SMAD3 at different levels. With *Igf2bp3* silencing, we also observed an augmenting effect of Rapa on nuclear p-SMAD3 (Figure 6E, bottom). To confirm the role of mTOR, this kinase was silenced with shRNA. This manipulation also augmented TGF- $\beta$ -induced p-SMAD3 levels in the TICs (Supplemental Figure 5F), confirming the negative regulatory role of mTOR in SMAD3 activation. Next, we tested the effects of Rapa plus silencing of both *Yap1* and *Igf2bp3*. Indeed, Rapa, together with *Yap1* and *Igf2bp3* silencing, had a synergistic effect on TGF- $\beta$ -induced p-SMAD3 levels (Supplemental Figure 5G, last lane), suggesting that mTOR has an independent, negative regulation on SMAD3 activation from that achieved by YAP1 and IGF2BP3. Rapa also abrogated

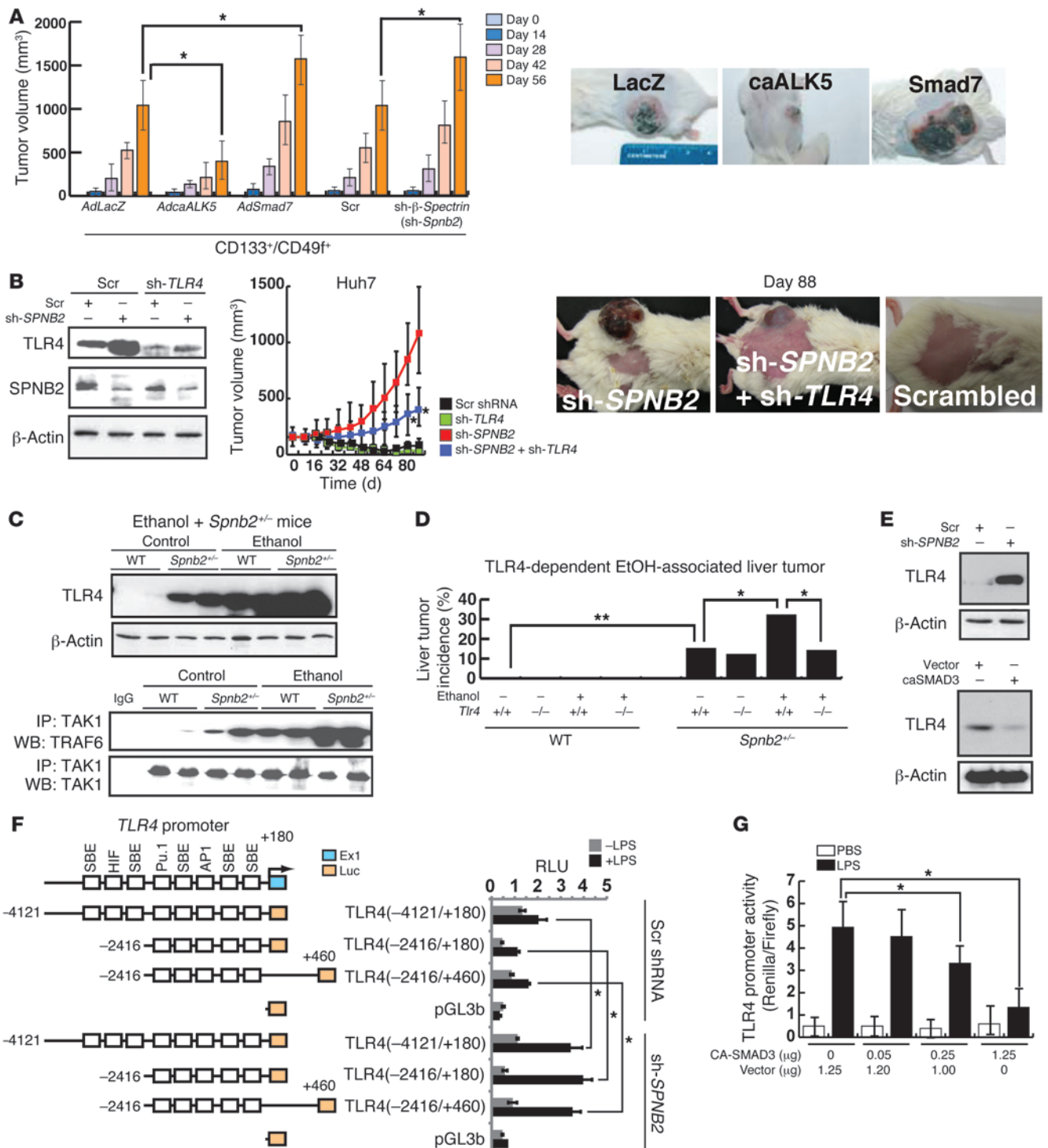
suppressed p-SMAD3 levels caused by the constitutively active AKT<sub>Myr</sub> (Supplemental Figure 5G, lane 5 vs. lane 8).

We then asked whether mTOR, YAP1, and IGF2BP3 affect the TGF- $\beta$  pathway downstream of SMAD3 activation (nuclear translocation and promoter activation) by testing these negative regulators against constitutively active SMAD3 (CA-SMAD3) in a TGF- $\beta$ -specific 3TP-luciferase reporter assay in TICs. Rapa failed to augment the promoter activity induced by CA-SMAD3 (Figure 6F, bar 2 vs. bar 3), suggesting that mTOR's inhibitory effect is primarily at the level of phosphoactivation of SMAD3. In contrast, CA-SMAD3-mediated promoter activity was still augmented by silencing of *Yap1* and *Igf2bp3* (Figure 6F, bar 2 vs. bar 4), suggesting that these molecules also have a negative effect downstream of SMAD3 activation, most likely by blocking p-SMAD3 nuclear translocation via augmented interactions of p-Yap1, p-SMAD3, and SMAD7, as demonstrated above. Last, we determined the effects of *Yap1* and *Igf2bp3* silencing on expression of stemness genes (*Nanog*, *CD133*, *Oct4*, and *Sox2*) and differentiation-related genes (*Alb* and *Krt19*). qRT-PCR results demonstrate suppression of all stemness genes and *Krt19* by this manipulation, while inducing albumin expression (Supplemental Figure 5H). Further, *Yap1* and *Igf2bp3* silencing abrogated *Nanog* promoter activity in TICs to the level similar to the activity in the control cells (Supplemental Figure 5I). Collectively, these results demonstrate the mechanisms and functional importance of suppressed TGF- $\beta$  canonical pathway by NANOG-induced YAP1 and IGF2BP3 in cell fate regulation of TICs.

*Suppressed TGF- $\beta$  signaling activates the TLR4/NANOG oncogenic pathway.* Our results point to the critical role of an inhibited TGF- $\beta$  tumor suppressor pathway for activation of oncogenic TLR4 signaling and TIC generation. We next asked a question as to whether direct genetic manipulations of the TGF- $\beta$  pathway in TICs influence their tumorigenic activity. A gain-of-function approach for TGF- $\beta$  signaling was achieved by adenoviral transduction of the constitutively active T $\beta$ RI (57) in TICs, and we tested its effects on subcutaneous tumor growth in NOG mice. This manipulation indeed reduces tumor growth as compared with the cells infected with the virus expressing LacZ as a control (Figure 7A). Conversely, overexpression of the inhibitory SMAD7 or expression of shRNA against the chaperone protein  $\beta$ 2-spectrin (*Spnb2*), which recruits p-SMAD3/SMAD4 into the nucleus, promoted tumor growth (Figure 7A). These results confirm the importance of an inhibited TGF- $\beta$  tumor suppressor pathway in the oncogenic activity of the TICs.

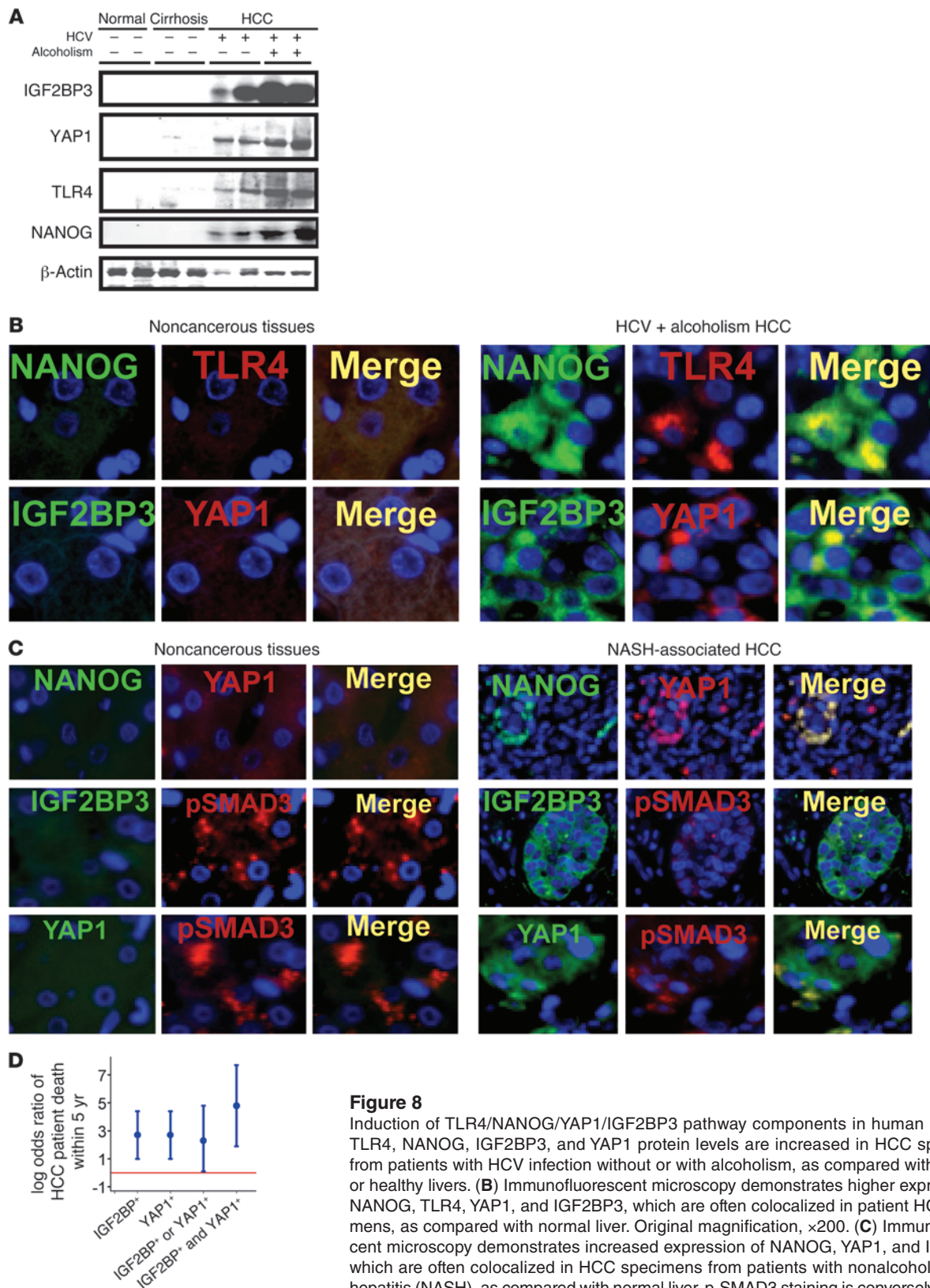
To attain the relevance of this finding in humans, we knocked down *SPNB2* to suppress the TGF- $\beta$  pathway in the human HCC cell line Huh7 and determined its effect on tumor growth in NOD mice. Interestingly, *SPNB2* knockdown itself induces TLR4 in Huh7 cells (Figure 7B). Although Huh7 cells transduced with scrambled shRNA did not grow, *SPNB2* knockdown allowed the cells to form rapidly growing tumors composed of cells with increased TLR4 immunoreactivity (Supplemental Figure 6). This growth was attenuated with shRNA against *TLR4* (Figure 7B).

To further test this notion in a whole animal model, we studied *Spnb2*<sup>-/-</sup> heterozygous mice. *Spnb2*<sup>-/-</sup> mice with reduced TGF- $\beta$  signaling spontaneously develop HCC (15). As shown in Huh7 cells with *SPNB2* knockdown, TLR4 was induced in the liver of this genetic mouse model as compared with that in WT mice (Figure 7C). To activate TLR4 signaling, *Spnb2*<sup>-/-</sup> mice were fed alcohol for 12 months. TLR4 activation, as assessed by TAK1/TRAF6 interaction, was evident in the liver of alcohol-fed *Spnb2*<sup>-/-</sup> mice (Figure



**Figure 7**

Inhibition of the TGF- $\beta$  pathway promotes TLR4-mediated oncogenesis. (A) Subcutaneous tumor growth by TICs from *Ns5a* Tg mouse tumors in NOG mice is attenuated by a gain-of-function approach for TGF- $\beta$  signaling using adenoviral transduction of the constitutively active T $\beta$ RI (caALK5), while it is promoted by overexpression of *Smad7* or shRNA against *Spnb2*. (B) *SPNB2* knockdown induces TLR4 and tumor-initiating property of Huh7 cells, which do not cause spontaneous xenograft growth. This growth by *SPNB2* knockdown is largely prevented by knockdown of TLR4 with shRNA. Representative pictures of NOG mice bearing tumors at day 88 are shown. (C) Heterozygosity of *Spnb2* induces TLR4 expression and downstream signaling (TAK1/TRAF6 association), and these changes are accentuated by alcohol feeding for 12 months. (D) The spontaneous liver tumor incidence in the *Spnb2*<sup>+/-</sup> mice, but not in *Spnb2*<sup>+/-</sup>*Tlr4* mice, is increased by alcohol feeding. (E) Knockdown of *SPNB2* with shRNA induces TLR4, while overexpression of caSMAD reduces TLR4 in Huh7 cells. (F) Knockdown of *SPNB2* in Huh7 cells equally promotes LPS-induced activity of the *TLR4* promoter containing 3 proximal SMAD-responsive elements (SRE). (G) Expression of CA-SMAD3 inhibits LPS-mediated TLR4 promoter (-4121/+180 nt) activity in Huh7 cells. \**P* < 0.05, \*\**P* < 0.01.



**Figure 8**

Induction of TLR4/NANOG/YAP1/IGF2BP3 pathway components in human HCC. (A) TLR4, NANOG, IGF2BP3, and YAP1 protein levels are increased in HCC specimens from patients with HCV infection without or with alcoholism, as compared with cirrhotic or healthy livers. (B) Immunofluorescent microscopy demonstrates higher expression of NANOG, TLR4, YAP1, and IGF2BP3, which are often colocalized in patient HCC specimens, as compared with normal liver. Original magnification,  $\times 200$ . (C) Immunofluorescent microscopy demonstrates increased expression of NANOG, YAP1, and IGF2BP3, which are often colocalized in HCC specimens from patients with nonalcoholic steatohepatitis (NASH), as compared with normal liver. p-SMAD3 staining is conversely reduced in HCC. Original magnification,  $\times 200$ . (D) The log OR (and 95% CI) of patient death within 5 years from initial diagnosis for HCC patients is increased for those with positive IGF2BP3 or/and YAP1 immunoreactivity, as compared with those with negative IGF2BP3 and YAP1 immunoreactivity (red line).



7C). More importantly, this treatment increased liver tumor incidence in *Spnb2*<sup>-/-</sup> mice but not in *Spnb2*<sup>-/-</sup>*Tlr4*<sup>-/-</sup> compound mice (Figure 7D), indicating that activation of the TLR4 pathway by alcohol feeding promotes tumorigenesis in *Spnb2*<sup>-/-</sup> mice with reduced TGF- $\beta$  signaling and reciprocally upregulated TLR4.

We then asked how TLR4 is negatively regulated by TGF- $\beta$ . Interaction between SMAD3 and AP1 is known to inhibit SMAD3 DNA binding ability (58). As there are several SMAD-binding elements in the *TLR4* promoter, we tested whether SMAD3 inhibits LPS-mediated *TLR4* promoter activity in Huh7 cells (Figure 7F). Silencing of *SPNB2* in Huh7 cells (Figure 7E) significantly enhanced LPS-induced TLR4 promoter activation (Figure 7F). In contrast, CA-SMAD3 overexpression markedly reduced TLR4 protein expression (Figure 7E) and promoter activity (Figure 7G). These results demonstrate that TGF- $\beta$  signaling directly inhibits the *TLR4* promoter and that defective TGF- $\beta$  signaling promotes LPS-induced TLR4 transcription. These results, together with our YAP1/IGF2BP3 data, support the reciprocal antagonistic regulation of TLR4 and TGF- $\beta$  and its implication in liver oncogenesis.

*Human HCCs have accentuated expression of TLR4/NANOG/YAP1/IGF2BP3 pathway components.* To attain clinical relevance of our findings, human HCC specimens (clinicopathological features described in Supplemental Tables 3 and 4) were analyzed. Immunoblotting shows dramatically higher levels of YAP1, IGF2BP3, TLR4, and NANOG in HCC from patients with HCV with or without alcoholism as compared with nontumorous cirrhosis or normal liver tissues (Figure 8A). Furthermore, HCC sections show increased TLR4, NANOG, YAP1, and IGF2BP3 staining compared with that in nontumorous liver tissues (Figure 8B and Supplemental Figure 7, A and B). To broaden the relevance, we also examined HCC in patients with nonalcoholic steatohepatitis without HCV infection. Similarly, NANOG, YAP1, and IGF2BP3 staining was increased while p-SMAD3 staining was reduced in HCC of this etiology, as compared with noncancerous liver tissues (Figure 8C and Supplemental Figure 7C). Of 34 patients with HCC, 18 died of the disease within 5 years of the date of diagnosis, and the remaining 16 survived over 5 years. In this patient cohort, the odds of dying within 5 years was significantly higher among patients with positive IGF2BP3 immunoreactivity as compared with patients with negative IGF2BP3 immunoreactivity (odds ratio [OR] = 15.2, 95% CI = 2.8–81;  $P$  = 0.001) (Figure 8D). Similarly, the odds of 5-year mortality were significantly higher among patients with positive YAP1 immunoreactivity as compared with patients with no immunoreactivity (OR = 15, 95% CI = 2.8–80;  $P$  = 0.002) (Figure 8D). Patients with both IGF2BP3 and YAP1 positivity in liver tumors have conspicuously elevated odds to die within 5 years as compared with patients for whom only 1 out of the 2 factors were positive (Figure 8D,  $P$  < 0.001). These results indicate that the TLR4/NANOG/YAP1/IGF2BP3 pathway is activated in human HCC and is associated with poor patient outcome.

*Silencing of Igf2bp3 and Yap1 sensitizes TICs to drug-induced cell death.* Next, we tested biological significance and therapeutic relevance of *Yap1* and *Igf2bp3* by determining the effects of silencing these genes on the efficacy of 2 common chemotherapeutic agents, Rapa and sorafenib (inhibitor of pan-tyrosine kinases targeting the MAPK pathway) (59), after assessing their IC<sub>50</sub> in cultured TICs (Supplemental Figure 8, A and B). In vitro, silencing of *Yap1* and *Igf2bp3* made TICs susceptible to suppressed proliferation and increased apoptosis by the drugs (Supplemental Figure 8C). Then, we tested the effects of gene silencing in mice transplanted

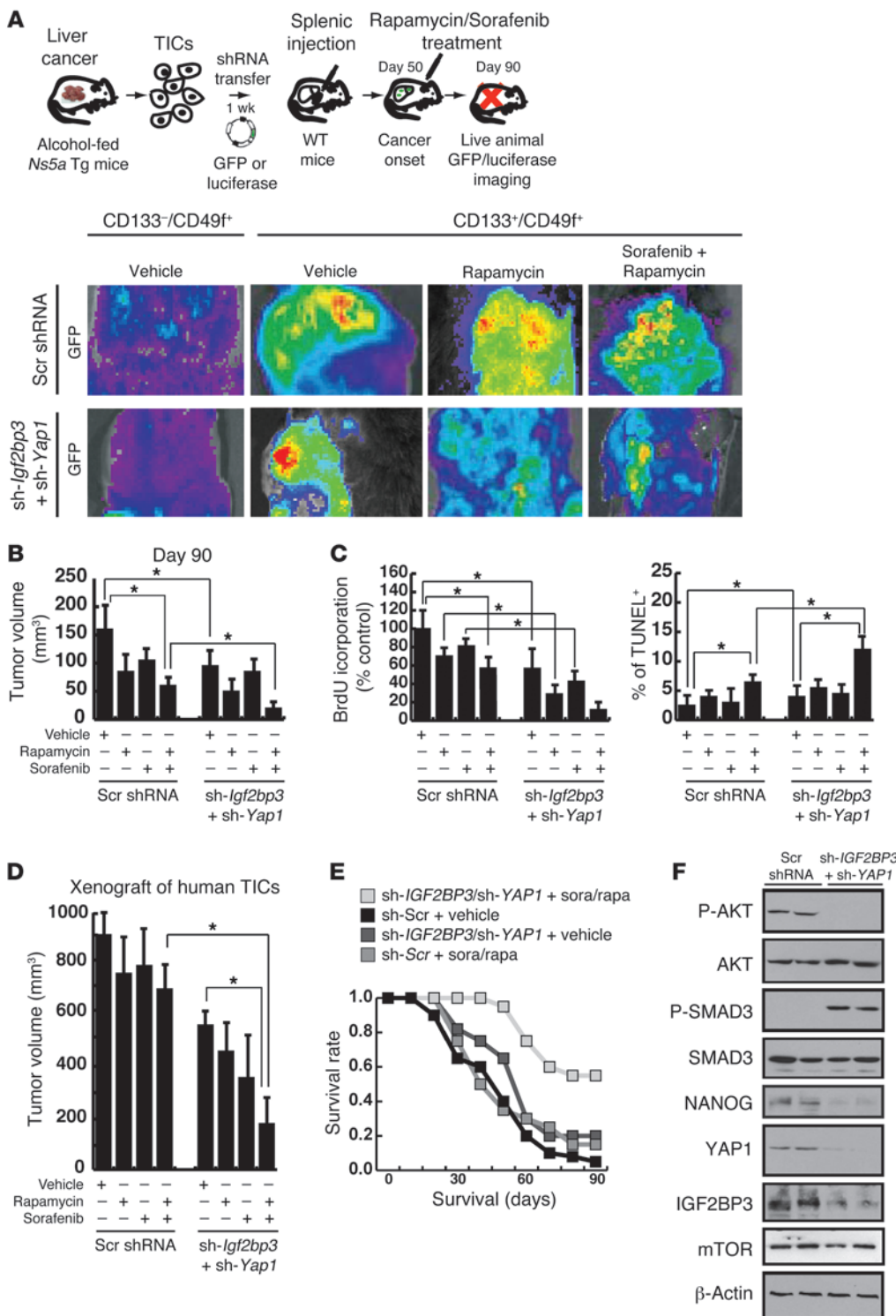
with TICs infected with lentivirus-GFP via splenic injection, as shown in Figure 9A. TIC liver engraftment was facilitated by retrorsine pretreatment and CCL<sub>4</sub> injections following transplantation. Whole-body imaging, tumor volume, and BrdU incorporation measurements were performed at the terminal time point of day 90 after transplantation (Figure 9, B and C), demonstrating that *Yap1* and *Igf2bp3* silencing promotes retardation of tumor growth by the chemotherapy to the extent that the tumor size was reduced approximately 90% (representative gross photos shown in Supplemental Figure 8D). TUNEL staining of tumor tissues revealed increased incidence of apoptotic cell death by the combination therapy (Figure 9C).

Finally, the chemosensitizing effect of *IGF2BP3* and *YAP1* silencing was tested by xenograft transplantation with the human HCC-derived TICs. These human TICs were very resistant to the growth inhibitory effect of Rapa and/or sorafenib (Figure 9D). However, silencing of *IGF2BP3* and *YAP1* abrogated this resistance and retarded the tumor growth by 70%, as compared with the drug treatment alone, and by 85%, as compared with no treatment (Figure 9D). This profound effect on drug sensitivity correlates with an improved survival of the mice with the combination therapy as opposed to chemotherapy or gene silencing alone (Figure 9E). The levels of p-SMAD3, p-AKT, and NANOG were also examined in the tumor tissues collected from the mice treated with only the drugs or with combination therapy. These analyses demonstrate that the combination therapy induced SMAD3 phosphorylation and reduced NANOG, IGF2BP3, p-AKT, and YAP-1 expression (Figure 9F).

## Discussion

CD133<sup>+</sup> TICs have previously been isolated from liver tumors of *Pten*- or *Mat1a*-deficient mice (60, 61). Using the same surface marker, we isolated TLR4/NANOG-dependent TICs from two mouse models of HCV-related liver tumors and patients with HCC. CD133<sup>+</sup> TICs from *Mat1a*-deficient mice show heightened MAPK activation in response to TGF- $\beta$  treatment, which promotes cell survival and antiapoptotic effects of TGF- $\beta$  (60). This prosurvival effect may be caused by a shift in TGF- $\beta$  signaling from the SMAD-mediated to the MAPK-dominant pathway. Indeed, our study demonstrates defects in the SMAD pathway in CD133<sup>+</sup> TICs, which may underlie this shift of the signaling. Further, using the TIC-derived cDNA library, we identify *Yap1* and *Igf2bp3* as NANOG target genes, which exert their oncogenic activities via suppression of the SMAD3 pathway (Figure 10). IGF2BP3 and YAP1 render this effect at two different levels, SMAD3 phosphoactivation and p-SMAD3 nuclear translocation. The former regulation is dependent on mTOR activated by the IGF2BP3/AKT pathway, while the latter is achieved by the interaction of YAP1 with SMAD7 and SMAD3, which is enhanced by IGF2BP3 via Ser127 phosphorylation of YAP1 (Figure 10). More importantly, defective TGF- $\beta$  signaling caused by YAP1 and IGF2BP3 promotes stemness, oncogenic activity, and chemoresistance of TICs, as silencing of both *Yap1* and *Igf2bp3* restores TGF- $\beta$  signaling, reduces stemness gene expression, and abrogates resistance of both animal and human TICs to chemotherapy. This outcome has an important implication in new therapeutic developments targeting TICs and may potentially lead to improved patient survival and reduced medical costs, if these experimental modalities are translated successfully.

Our finding on the cytosolic activity of p-Ser127 YAP1 inhibiting the TGF- $\beta$  tumor suppressor pathway contrasts with oncogenic nuclear activity of YAP1, which is inhibited by MST1/2-

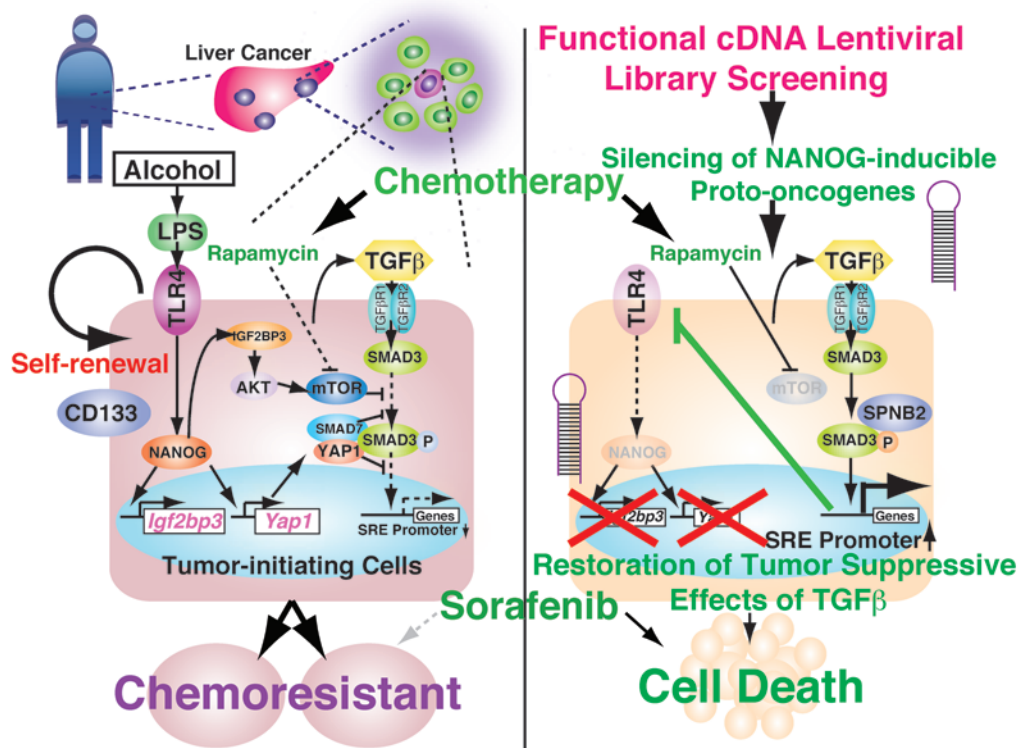


**Figure 9** Silencing of *Igf2bp3* and *Yap1* sensitizes TICs to drug-induced cell death. **(A)** Silencing of *Igf2bp3* and *Yap1* in TICs from HCV *Ns5a* Tg mice promotes growth inhibition of tumor upon chemotherapeutic drug treatment (rapa and sorafenib) in immune-competent C57BL/6 mice, as monitored by GFP imaging after splenic injection and engraftment enrichment procedures with retrorsine and CCl<sub>4</sub>. **(B)** The tumor volume measurement performed at day 90 after transplantation shows a maximal growth retardation achieved by the combination of the drugs and *Igf2bp3/Yap1* silencing (\**P* < 0.05). **(C)** TIC proliferation, as determined by BrdU incorporation in vivo at day 90, is most inhibited by the combination of the chemotherapeutic drugs and silencing of *Igf2bp3* and *Yap1*. Concomitantly, the incidence of apoptotic cell death increases conspicuously by the combined treatment. \**P* < 0.05. **(D)** Xenograft tumor growth by TICs from patient HCC in NOG mice is most conspicuously suppressed by rapa and sorafenib treatment only in the presence of *IGF2BP3* and/or *YAP1* silencing (\**P* < 0.05). **(E)** Improved survival of mice injected with human TICs transduced with shRNA targeting *YAP1* and *IGF2BP3* and subjected to injection of the chemotherapeutic drugs, as compared with other groups with single therapies. **(F)** Immunoblot analysis demonstrates that silencing of both *YAP1* and *IGF2BP3* induces p-SMAD3 levels, while reducing NANOG expression in xenograft tissue of mice treated with rapa and sorafenib.

mediated phosphorylation of the protein (53). This study did not examine the nuclear role of YAP1 in TICs. However, it is possible that YAP1 may serve as an oncogene in both cytosol and nucleus, and p-Ser127 YAP1 still exerts an indirect oncogenic effect via suppression of SMAD3 nuclear translocation, as also previously suggested (48). Our results on coordinated increases in p-MST1 and p-LATS1/2 with p-AKT and p-YAP1 (Figure 6C) further suggest

that MST1 and LATS1/2 are kinases potentially responsible for p-Ser127 of YAP1 downstream of p-AKT (62, 63).

While activated TLR4 inhibits the TGF-β pathway, a suppressed TGF-β pathway induces TLR4 in the liver. The importance of this reciprocal regulation in liver tumorigenesis is supported by our findings in mice with SPNB2 haploinsufficiency. These mice with defective canonical TGF-β signaling ectopically upregulated TLR4



**Figure 10**

A schematic representation of the proposed link between oncogenic TLR4/NANOG signaling and a defective TGF- $\beta$  tumor suppressor pathway in generating TICs. Ectopic upregulation of TLR4 and its activation by LPS induce the pluripotency factor NANOG, other stem cell genes, and self-renewal of TICs. NANOG induces IGF2BP3 and YAP1, which in turn inhibit TGF- $\beta$  signaling at the level of SMAD3 phosphoactivation and p-SMAD3 nuclear translocation. The former effect is dependent on the IGF2BP3/AKT/mTOR pathway, while the latter is caused by p-YAP1/SMAD7/SMAD3 interactions, which are enhanced by IGF2BP3/AKT-mediated YAP1 phosphorylation. Restoration of the TGF- $\beta$  tumor suppressor pathway by silencing *IGF2BP3* and *YAP1* downregulates TLR4 via SMAD3-mediated transcriptional repression and inhibits TLR4/NANOG-mediated TIC self-renewal and oncogenic activity while chemosensitizing TICs. A defective canonical TGF- $\beta$  pathway, as in *Spnb<sup>+/-</sup>* mice, upregulates TLR4 by transcriptional derepression and activates the TLR4/NANOG oncogenic pathway for liver tumorigenesis.

in the liver and developed liver tumors at a higher incidence when fed alcohol in a manner dependent on TLR4 (Figure 7, C and D). We also demonstrated that TLR4 upregulation by a suppressed TGF- $\beta$  pathway involves at least in part transcriptional derepression by reduced SMAD3-mediated repression (Figure 7, E-G).

Multiple cancers derived from meso-endodermally derived epithelium are associated with TGF- $\beta$ /BMP pathway inactivation, in which it may regulate progenitor cell fate. Indeed, the functions of TGF- $\beta$  are more complex than simply inhibiting cell growth, as TGF- $\beta$  can induce the growth of mesenchymal cells involved in cell invasion (13, 64). TGF- $\beta$  signals also modulate the immune response to tumors and are thought to play a role in tumor angiogenesis (65). TGF- $\beta$  is also commonly overproduced by cancer cells. Besides breast cancer, hepatocellular and lung carcinomas overproduce TGF- $\beta$ 1 in vivo, and the higher the level of this cytokine, the higher their chance for metastasis (66, 67). Yet, in vivo models have not yielded robust protumorigenic roles for the cytokine, and it is possibly that its levels reflect the loss of the linear SMAD signaling pathway and a negative feedback increase in the cytokine. In TICs of epithelial tissues, such as pancreas and liver (23, 60, 68, 69), a defective TGF- $\beta$  pathway may promote tumorigenesis via stemness gene induction, while in nonepithelial tumor cells, such as glioma cells, an active TGF- $\beta$  pathway may promote the oncogenic potential.

NANOG is per se a well-established negative transcriptional regulator of the tumor suppressor p53 (70), and this mechanism may certainly contribute to the genesis of TLR4-dependent TICs. Intriguingly, a recent high-throughput screening identified an inhibitor of TGF- $\beta$  signaling, which replaces a role of SOX2 and induces NANOG to complete reprogramming for generation of iPS cells (71). Indeed, our findings of TLR4-mediated oncogenic activity in the *Spnb2<sup>+/-</sup>* mice and *SPNB2*-knocked down Huh7 cells support the notion that inhibition of the TGF- $\beta$  pathway is required for stemness gene induction in the genesis of TICs. We also noticed that knockdown of *Nanog* leads to attenuation of *Tlr4* expression in TICs (data not shown), implying a feedback loop. Currently, the mechanism underlying this loop is unknown but may be critical in fully understanding the TLR4/NANOG pathway in regulation of pluripotency. Similarly, YAP1 and IGF2BP3 may have a positive feedback regulation on NANOG, as indicated by reduced NANOG expression upon silencing of these 2 factors (Supplemental Figure 5H). This regulation may be due to restored TGF- $\beta$  signaling and reciprocally suppressed TLR4 activation, which is upstream of NANOG.

Our study extends the TLR4/NANOG oncogenic mechanism identified in the TICs from the animal models to HCC in patients via (a) demonstration of a similar population of TICs from





patient HCC, (b) immunohistochemical evidence of increased TLR4 and NANOG as well as their downstream targets YAP1 and IGF2BP3 in tumor sections from patients with HCC, and (c) correlation of YAP1 and IGF2BP3 immunoreactivity with outcome of patients with HCC. Further, immunohistochemical results on nonalcoholic steatohepatitis-associated HCC suggest that the TLR4/NANOG pathway may have a broad implication in HCC, regardless of etiology. A recent study demonstrates that TLR4 is expressed in embryonic stem cells and adult progenitor cells from mammary and intestine and its activation leads to proliferation and stem cell expansion (72). Based on these data, combined with our findings, we propose that *TLR4* is a proto-oncogene or a tumor-promoter gene whose aberrant expression and activation leads to induction of pluripotency genes and genesis of TICs via activation of the TLR4/NANOG pathway and consequent inhibition of the TGF- $\beta$ /SMAD pathway.

## Methods

More information is available in the Supplemental Methods.

**Isolation of TICs.** CD133<sup>+</sup>/CD49f<sup>+</sup> TICs were separated from CD133<sup>-</sup>/CD49f<sup>-</sup> or CD133<sup>+</sup>/CD49f<sup>-</sup> cells by FACS from a CD45<sup>-</sup> fraction of tumor tissue cell suspension (61, 73) from the animal models and patients, as described in detail in Supplemental Methods.

**Mice.** HCV *Ns5a* Tg mice were provided by Ratna Ray (Saint Louis University, Saint Louis, Missouri, USA).

**Functional cDNA screening for oncogenes.** A lentiviral cDNA library, which expresses GFP driven by EMCV IRES, was established from NANOG<sup>hi</sup>/TICs and NANOG<sup>lo</sup>/CD133/CD49f<sup>+</sup> control cells by the lentiviral RL recombination method based on the Gateway system (Invitrogen) using HEK293T cells. The mouse oval cell line (progenitor cell), deficient in *p53* (immortalized, nontransformed PIL-4,  $1 \times 10^6$  cells) (74), was infected with each lentiviral cDNA library (approximately 10 million copies = MOI of 10). This viral cDNA library technique has previously been used to clone and test cancer driver genes in vitro (75–77) and in vivo (37, 78). Following 7 days after infection, GFP-positive PIL-4 cells were sorted by FACS to eliminate nontransduced cells. The GFP-positive cells were then seeded into soft agar plates for colony formation assay as described previously (76, 79). In brief, a base layer was made by mixing 1% soft agar with an equivalent 2 $\times$  medium in 6-well plates. The transduced PIL-4 cells were harvested, suspended in medium containing 0.35% soft agar, and seeded on the base layer at different densities, starting from 5,000 cells per well, using a 24-well plate. Plates were maintained at 37°C and fed every 3 days with 0.1 ml complete Williams E medium (30 ng/ml IGF2, 20 ng/ml EGF, 10  $\mu$ g/ml insulin, and 10% fetal bovine serum). After 2 weeks, the number of colonies that formed was counted, and the colonies were isolated by ring cloning and propagated in the same media for subsequent assays under a microscope as previously described (80, 81). As a control, a lentiviral vector expressing scrambled shRNA was used. Oncogenic parameters (colony size, proliferative and invasive activity) were assessed using 24-well plates to determine the priority of the candidate genes to be tested by this assay, and we knocked down the highest priority genes on the list as described previously (75–77). Cell cultures infected with these libraries developed many distinctly transformed colonies, with a variety of morphology and growth rates, while uninfected cell cultures or those infected with viruses derived from the CD133<sup>+</sup>/CD49f<sup>+</sup> control cells had lower frequencies of occurrence of transformed colonies, equivalent to the low spontaneous rate of transformation of the PIL-4 cells used in these experiments. The cell proliferation rate was assessed by BrdU staining in 96-well plates.

**In vitro and in vivo oncogenic activities.** The TICs and control CD133<sup>+</sup>/CD49f<sup>-</sup> cells were tested for colony formation using 24-well plates with

soft agar or spheroid formation in ultra-low adhesion plates. Cell proliferation rate was assessed by incorporation of <sup>3</sup>H-uridine in 96-well plates. Invasive activity was determined by a Biocoat Matrigel Invasion Chamber (BD Biosciences Labware). Vectors (pCR2.1-NANOGP8 and pPyCAG-NANOGP8) were provided by Dean G. Tang (The University of Texas MD Anderson Cancer Center). For xenograft transplantation, the cells were infected with lentiviral vectors expressing GFP and shRNA targeting *Yap1* or *Igf2bp3* or scrambled shRNA. Infected cells ( $1 \times 10^4$ ) were injected with Matrigel into nude, NOG, or immune-competent mice. The tumor tissues were divided for snap freezing for mRNA and protein analysis for TLR4 and TGF- $\beta$  signaling components and oncogenic factors, fixation with 3% paraformaldehyde for subsequent immunostaining of the TIC markers, or H&E staining and histological evaluation of neoplastic cells.

**Liver TIC engraftment via splenic injection.** To test the effects of the chemotherapeutic drugs and *Igf2bp3/Yap1* silencing on liver tumor growth derived from mouse TICs, TICs or CD133<sup>+</sup>/CD49f<sup>+</sup> control cells were pre-labeled with lentiviral GFP and transduced with shRNA for *Igf2bp3* and *Yap1*, followed by splenic injection of C57BL/6 mice as previously described (37). The lentiviral transduction efficiency is monitored by FACS analysis for GFP positivity. More than 95% of TICs were routinely labeled by lentiviral transduction. The recipient mice were pretreated before surgery with 2 doses of retrorsine (70 mg/kg, i.p.), an alkaloid that exerts a strong and persistent block of native hepatocyte proliferation, thereby increasing the competitive advantage of transplanted cells. For intrasplenic injection of cells, anesthesia was induced with an i.p. mixture of ketamine and xylazine (Abbott Laboratories) at a strength of 100 mg/ml, given as a dose of 0.1 ml per 20 g of body weight. Under direct vision, cells were injected into the spleen through a mini incision on left flank, using a 27-gauge needle. The spleen was retracted, and the lower pole of the spleen was looped with a 4-0 vicryl ligation. The indicated number of cells in 0.2 ml media was injected into the lower pole of the spleen within 2 minutes. The abdomen was sutured using 4-0 vicryl. Transplanted cells were allowed to migrate to the recipient liver and engraft the organ. To further facilitate expansion of the transplanted cells, recipient mice were treated with CCl<sub>4</sub> (dose: 0.5 ml/kg i.p., 1-week interval, 3 cycles during 30 days at day 14, 21, and 28 after splenic injection), which induces liver injury and regeneration. Acute liver damage was induced by subcutaneous injection of CCl<sub>4</sub> dissolved in olive oil 2 days before transplantation. To determine whether the cells colonize recipient livers, we monitored the growth of liver tumors via GFP imaging at the Animal Imaging Core of University of Southern California Radiology Department. Approximately one percent of the host liver consisted of “seeded” GFP-positive cells embedded within the normal liver architecture. Images were taken in at least 2 (typically perpendicular) levels and underwent processing for contrast and brightness, with subsequent analysis by the Image Pro Plus 3.1 software (Media Cybernetics). Images were captured directly on a microcomputer or continuously through video output on a high-resolution VCR (SLV-R1000, Sony Corp.). Imaging at lower magnification that visualized the entire animal was carried out in a light box illuminated by blue light fiber optics (Lighttools Research Inc.) and performed using the thermoelectrically cooled color CCD camera.

**Statistics.** The data in bar and line graphs are shown as mean + SD. *P* values of less than 0.05 are considered significant. Linear mixed effect models were used to examine whether there was a difference in tumor growth speed among different groups of mice, e.g., mice grafted with TICs transduced with shRNA targeting a cellular gene vs. scrambled shRNA. Difference in mean tumor size across different groups of mice at a certain time point was assessed with ANOVA. Logistic regression was used to compare the odds of having positive IGF2BP3 or YAP1 immunoreactivity among patients who died within 5 years vs. the odds of having positive IGF2BP3 or YAP1 immunoreactivity among those who survived over 5 years. YAP1 and



IGF2BP3 immunoreactivity was considered as negative when the percentage of stained cells with immunofluorescence microscopy was 0 and positive if the percentage was greater than 0. These statistical computations were performed using Stata 11.0 (StataCorp, Stata Statistical Software: Release 11. 2009, StataCorp LP). All *P* values reported are 2 sided.

**Study approval.** The present studies in animals and humans were reviewed and approved by Institutional Animal Care and Use Committee and Institutional Review Board of University of Southern California.

**Microarray data.** Microarray data have been deposited in a MIAME-compliant public database (GEO accession no. GSE45646, GSM1111249, and GSM1111250).

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